



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kaoru UCHIDA

Examiner: Unassigned

Serial No.: 09/722,964

Group: 2621

Filed: Jan. 27, 2000

Docket: 14098

For: USER AUTHENTICATION APPARATUS
WHICH USES BIOMETRICS AND USER
AUTHENTICATION METHOD FOR USE
WITH USER AUTHENTICATION APPARATUS

Dated: September 6, 2002

#5
9-16-02

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

RECEIVED

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Technology Center 2600

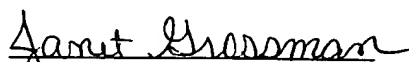
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Publication No. 9-160589, dated June 20, 1997;
2. Japanese Laid-Open Patent Publication No. 11-143707, dated May 28, 1999;
3. Japanese Laid-Open Patent Publication No. 10-275233, dated October 13, 1998;
4. Japanese Laid-Open Patent Publication No. 4-123276, dated April 23, 1992;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 6, 2002.

Dated: September 6, 2002


Janet Grossman

5. Japanese Laid-Open Publication No. 11-73395, dated March 16, 1999;
6. Japanese Laid-Open Publication No. 9-282282, dated October 31, 1997; and
7. Japanese Laid-Open Publication No. 11-85994, dated March 30, 1999.

The references were cited in an Official Action dated June 25, 2002 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



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